

PATENT Customer No. 22,852 Attorney Docket No. 5725.0816-02000

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:   |                                       |
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| Veronique FERRARI et al.  | Group Art Unit: 1615                  |
| Application No.: 10/787,440   | Examiner: J. VENKAT                   |
| Filing Date: February 27, 2004  | )<br>)                                |
| For: METHOD OF MAKING A MASCARA COMPOSITION COMPRISING A POLYAMIDE POLYMER AND AT LEAST ONE INERT FILLER (AS AMENDED) | )<br>)<br>Confirmation No.: 4114<br>) |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached IDS Form PTO/SB/08. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b), but, to the undersigned's knowledge, before the mailing date of either a Final Office action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

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Copies of the listed documents are attached, excluding any U.S. Patents, U.S. Patent Application Publications, and co-pending U.S. Patent Applications, which are available in the Image File Wrapper System.

The United States Court of Appeals for the Federal Circuit held in *Dayco Products, Inc. v. Total Containment, Inc.*, 329 F.3d 1358, 66 U.S.P.Q.2d 1801 (Fed. Cir. 2003), that an "adverse decision" by another examiner may meet the materiality standard under the amended Rule 56, and thus, Applicants should disclose prior rejections of "substantially similar claim[s]" to the Office. Accordingly, although Applicants are not representing that the office actions in the co-pending applications are material to the present application and are not admitting that any of the other claims are substantially similar, out of an abundance of caution, Applicants have listed the substantive office actions filed in co-pending applications on the attached form. At the Examiner's request, Applicants have not included copies of the office actions from co-pending applications issued by the Examiner of this application.

Applicants' representatives understand from the Examiner that in other related co-pending applications the Examiner considered references cited in the Forms PTO-1449 filed, but that she had crossed through some of the citations and did not initial the entries to avoid the printing of the those documents on the face of any patent granted there from. In the present case, Applicants are resubmitting on the attached form documents previously submitted but crossed through or not initialed by the previous Examiner for the present Examiner's consideration. In light of the present Examiner's representations regarding the crossing-out of references in the related co-pending applications, Applicants will consider any entries crossed through by her as having been

properly submitted and considered by the Examiner, <u>unless the Examiner specifically</u> states otherwise in the papers returning the form.

Applicants respectfully request that the Office consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicants submit the following remarks:

- 1. <u>DE 195 43 988 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted.
- 2. <u>DE 197 07 309 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Report in PCT/FR01/03937, which was previously submitted.
- 3. <u>DE 197 50 246 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/01958 and French Search Report in FR 0008084, which was previously submitted.
- 4. <u>DE 199 51 010 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted.

- 5. **DE 38 39 136 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. The document is believed to be related to U.S. Patent No. 5,196,260.
- 6. **DE 38 43 892 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted. This document is believed to be related to U.S. Patent No. 5,061,289.
- 7. <u>DE 42 08 297 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted.
- 8. <u>DE 42 34 886 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted.
- 9. **EP 0 169 997 B1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent No. 4,620,492.
- 10. <u>EP 0 557 196 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which

were previously submitted. This document is believed to be related to U.S. Patent No. 5,858,338.

- 11. <u>EP 0 609 132 B1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document is believed to be related to U.S. Patent No. 5,830,444.
- 12. <u>EP 0 749 746 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161, FR 0016164, and FR 0100620, which were previously submitted. This document is believed to be related to U.S. Patent No. 5,945,095.
- 13. <u>EP 0 749 747 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which were submitted previously. This document is believed to be related to U.S. Patent No. 5,851,517.
- 14. <u>EP 0 749 748 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03726, which was previously submitted. This document is believed to be related to U.S. Patent No. 5,769,902.

- 15. <u>EP 0 775 483 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the French Search Report in FR 0100620, which was submitted previously. This document is believed to be related to U.S. Patent No. 5,972,354.
- 16. <u>EP 0 820 764 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/00229 and French Search Reports in FR 00920 and FR 0001004, which were submitted previously.
- 17. **EP 0 847 752 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,649,173.
- 18. <u>EP 0 879 592 A2</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted.
- 19. <u>EP 0 887 073 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR02/00194, which was submitted previously. This document is believed to be related to U.S. Patent No. 6,203,780 B1.
- 20. <u>EP 0 923 928 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document

was identified on the International Search Reports in PCT/FR01/03939,
PCT/FR01/03940, and PCT/FR02/00194 and French Search Reports in FR 0016161,
FR 0016164, and FR 9916588, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,682,748 B1.

- 21. <u>EP 0 925 780 A1</u>- An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/IB01/02820 and French Search Report in FR 9916588, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,254,876 B1.
- 22. <u>EP 0 930 058 B1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document is believed to be related to U.S. Patent No. 6,251,375 B1.
- 23. **EP 0 930 060 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,254,877 B1.
- 24. <u>EP 0 943 340 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted.
- 25. <u>EP 0 958 811 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document

was identified on the International Search Report in PCT/FR01/01958 and French Search Report in FR 0008084, which were submitted previously.

- 26. <u>EP 0 959 066 A2</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document is believed to be related to U.S. Patent No. 6,165,971..
- 27. <u>EP 0 959 091 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/01958 and French Search Report in FR 0008084, which were submitted previously. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0119171 A1.
- 28. <u>EP 0 976 390 A1</u> -An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Report in PCT/FR01/03938 and French Search Report in FR 0016180, which were submitted previously. This document is believed to be related to U.S. Patent Application Publication No. US 2001/0014313 A1.
- 29. **EP 1 002 514 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the French Search Report in FR 0100620, which was submitted previously. This document is believed to be related to U.S. Patent No. 6,203,807 B1,.
- 30. **EP 1 031 342 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/US01/47459, which was submitted previously.

- 31. <u>EP 1 048 282 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which were submitted previously.
- 32. <u>EP 1 053 742 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/IB00/02002, PCT/IB01/02786, and PCT/IB01/02820, which were submitted previously.
- 33. <u>EP 1 064 919 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/00129 and French Search Report in FR 0100479, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,534,047 B1.
- 34. <u>EP 1 064 920 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR02/00129 and French Search Report in FR 0100479, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,482,400 B1.
- 35. **EP 1 066 814 A1** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/IB00/02002,

PCT/IB01/02786, and PCT/IB01/02820, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,491,931 B1.

- 36. <u>EP 1 068 854 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03938 and French Search Report in FR 0016180, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,372,235 B1.
- 37. <u>EP 1 068 855 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/FR01/01958, PCT/FR02/00194, PCT/IB01/02780, and PCT/IB01/02820 and French Search Reports in FR 0008084 and FR 0100620, which were submitted previously. This document is believed to be related to U.S. Patent No. 6,402,408 B1.
- 38. <u>EP 1 068 856 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/FR02/00194, PCT/IB00/02000, PCT/IB00/02006, PCT/IB01/02780, PCT/IB01/02833, and PCT/US00/33596 and French Search Reports in FR 0114529 and FR 0114530, which were submitted previously.
- 39. <u>EP 1 086 945 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03938 and French Search Report in FR 0016180, which were submitted previously.

- 40. <u>EP 1 090 627 B1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/02820, which was submitted previously.
- 41. <u>EP 1 114 636 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract previously submitted. This document was identified on the International Search Reports in PCT/FR01/03938 and PCT/FR02/00194 and French Search Report in FR 0016180, which were submitted previously. This document is believed to be related to U.S. Patent Application Publication No. US 2001/0031280 A1.
- 42. **FR 1 529 329** A certified English translation of this document was submitted previously. This document was identified on the International Search Report in PCT/IB01/02786, which was submitted previously.
- 43. FR 2 232 303 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03939 and French Search Report in FR 0016164, which were submitted previously. This document is believed to be related to U.S. Patent No. 3,937,811.
- 44. FR 2 674 126 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the French Search Report in FR 0100620, which was submitted previously.
- 45. **FR 2 785 179** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was

identified on the International Search Reports in PCT/FR01/03939 and PCT/FR01/03940 and French Search Reports in FR 0016161 and FR 0016164, which were submitted previously.

- 46. FR 2 796 270 An Abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document is believed to be related to U.S. Patent No. 6,402,408 B1.
- 47. FR 2 796 271 An Abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document is the French Priority Application for co-pending U.S. Patent Application Serial No. 09/618,066.
- 48. FR 2 796 272 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to FR 2 796 271, which is the French Priority Application for co-pending U.S. Patent Application Serial No. 09/618,066, previously submitted.
- 49. FR 2 796 273 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent No. 6,402,408 B1.
- 50. FR 2 796 276 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which was submitted previously. This document is believed to be related to U.S. Patent No. 6,372,235 B1.
- 51. FR 2 802 806 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document is believed to be related to WO 02/055030, which was submitted previously.

- 52. **FR 2 804 017** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2001/0031280 A1.
- 53. FR 2 804 018 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 01/52799 A1, which is submitted herewith.
- 54. **FR 2 810 562** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 01/97773 A1, which is submitted herewith.
- 55. **FR 2 811 225** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent No. 6,432,391 B1
- 56. FR 2 811 552 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which was submitted previously. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0150602 A1 and U.S. Patent No. 6,726,915 B2.
- 57. FR 2 816 506 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which was submitted previously. This document was identified on the International Search Reports in PCT/IB01/02780 and PCT/US01/47497, which were submitted previously.
- 58. **FR 2 817 739** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0026772 A1.

- 59. FR 2 817 740 An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0044367 A1.
- 60. <u>FR 2 817 743</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0189030 A1.
- 61. **FR 2 819 399** An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0172696 A1.
- 62. FR 2 819 400 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. This document is believed to be related to WO 02/055031 A1, which is submitted herewith.
- 63. FR 2 819 402 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0192168 A1.
- 64. <u>JP 02/200612</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document is believed to be related to EP 0 370 470 B1 and CA 2,003,346, which were submitted previously.
- 65. <u>JP 04/346909</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03937, which was submitted previously.

- 66. <u>JP 09/255560</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 67. <u>JP 10/007527</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document can is believed to be related to U.S. Patent No. 5,667,770.
- 68. <u>JP 10/120903</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 69. <u>JP 10/212213</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 70. <u>JP 10/259344</u> This document was identified on the International Search Report in PCT/FR02/00129 and French Search Report in FR 0100620, which were submitted previously. Furthermore, an abstract of the disclosure of this document can be found in the English language Derwent Abstract, which was submitted previously and which abstract was identified on the International Search Report in PCT/FR02/00144 and French Search Report in FR 0100623, also submitted previously.
- 71. <u>JP 11/106216</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03938 and French Search Report in FR 0016180, which were submitted previously.
- 72. <u>JP 11/335228</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 73. <u>JP 11/335242</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.

- 74. <u>JP 11/335254</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 75. <u>JP 2/127568</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 76. <u>JP 2/216279</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 77. <u>JP 2000038314 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 78. <u>JP 2000038316 A and JP 2000038317 A</u> An abstract of the disclosure of these documents can be found in the English language Derwent Abstract, which was submitted previously. These documents are believed to be related to EP 0 958 805 A2, which was submitted previously.
- 79. **JP 2000038321 A** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 80. <u>JP 2000086427 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract, which is submitted previously. The document is believed to be related to EP 0 958 804 A2, which was submitted previously.
- 81. <u>JP 2000086429 A</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 82. **JP 2000086438 A** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.

- 83. <u>JP 3/014683</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 84. <u>JP 50/58242</u> An abstract of the disclosure of this document can be found in the English language abstract for JP 78 043577, which is submitted herewith.
- 85. <u>JP 53/043577</u> An abstract of the disclosure of this document can be found in the English language abstract from Patent Abstracts of Japan submitted previously.

  This document was identified on the International Search Reports in PCT/IB00/02002 and PCT/IB01/02786, which were submitted previously.
- 86. <u>JP 56/123909</u> An abstract of the disclosure of this document can be found in the English language abstract from Patent Abstracts of Japan submitted previously.

  This document was identified on the International Search Report in PCT/IB01/02786, which was submitted previously.
- 87. JP 56/166276 This document was identified on the International Search Report in PCT/FR02/00129 and French Search Report in FR 0100620, which were submitted previously. Furthermore, an abstract of the disclosure of this document can be found in the English language abstract from Patent Abstracts of Japan, which is submitted herewith and which abstract was identified on the International Search Report in PCT/FR02/00144 and French Search Report in FR 0100623, also submitted previously.
- 88. <u>JP 61/065809</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the French Search Report in FR 0100620, which was submitted previously.

- 89. <u>JP 62/061911</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the French Search Report in FR 0100620, which was submitted previously.
- 90. <u>JP 7/179795</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 91. <u>JP 7/267827</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Reports in PCT/IB00/02002 and PCT/IB01/02786, which were submitted previously.
- 92. <u>JP 8/225316</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously. This document was identified on the International Search Report in PCT/FR01/03938 and French Search Report in FR 016180, which were submitted previously.
- 93. <u>JP 9/20631</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 94. <u>JP 9/295922</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted previously.
- 95. **WO 00/27350 A1** An English language Abstract of this document can be found on the front of this document. This document was identified on the International Search Report in PCT/US01/47454, which was submitted previously.
- 96. <u>WO 01/52799</u> An English language Abstract of this document can be found on the front of this document. This document was identified on the International Search

Reports in PCT/FR02/00194, PCT/US01/47459, and PCT/US01/47499, which were submitted previously.

- 97. WO 01/97773 A1 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0161807 A1.
- 98. <u>WO 02/055031 A1</u> An English language Abstract of this document can be found on the front of this document. This document is the believed to be related to FR 2 189 600, which is the French Priority Application for co-pending U.S. Patent Application Serial No. 10/466,166, submitted previously.
- 99. <u>WO 02/056845 A1</u> An English language Abstract of this document can be found on the front of this document. This document was identified on the International Search Report in PCT/IB01/02780, which was submitted previously.
- 100. WO 02/056847 A1 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0172696 A1.
- 101. WO 02/056848 A1 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith.

This document is believed to be related to U.S. Patent Application Publication No. US 2002/0192168 A1.

- 102. WO 02/47605 A2 An English language Abstract of this document can be found on the front of this document. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0044367 A1.
- 103. WO 02/47622 A2 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0168335 A1.
- 104. WO 02/47627 A1 An English language Abstract of this document can be found on the front of this document. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0026772 A1.
- 105. WO 02/47629 A1 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2002/0189030 A1.
- 106. WO 02/47630 A1 An English language Abstract of this document can be found on the front of this document. An abstract of the disclosure of this document can also be found in the English language Derwent Abstract, which is submitted herewith. This document is believed to be related to U.S. Patent Application Publication No. US 2003/0012764 A1.

- 107. <u>WO 91/12793</u> An English language Abstract of this document can be found on the front of this document. This document was identified on the International Search Report in PCT/FR01/03939 and French Search Report in FR 016164, which were submitted previously.
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This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Application No. 10/787,440 Attorney Docket No. 5725.0816-02000

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: November 24, 2004

Michelle E. O'Brie

Reg. No. 46,203

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| NOT 1 1 2004 Silve de main silve de messadery)    |    | Examiner Name          | J. Venkat                |  |
| . Sheet 6 1 of                                    | 16 | Attorney Docket Number | 05725.0816-02000         |  |

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| Filing Date            | February 27, 2004        |  |
| First Named Inventor   | Veronique FERRARI et al. |  |
| Art Unit               | 1615                     |  |
| Examiner Name          | J. Venkat                |  |
| Attorney Docket Number | 05725.0816-02000         |  |

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| Filing Date            | February 27, 2004        |   |
| First Named Inventor   | Veronique FERRARI et al. |   |
| Art Unit               | 1615                     |   |
| Examiner Name          | J. Venkat                | - |
| Attorney Docket Number | 05725.0816-02000         |   |

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| First Named Inventor   | Veronique FERRARI et al. |      |
| Art Unit               | 1615                     |      |
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|                      |                          | WO 91/12793  | 9/5/1991                       | PCT  |  | English<br>Abstract      |
|                      |                          | WO 94/21233  | 9/29/1994                      | PCT  |  | English<br>Abstract      |
|                      |                          | WO 95/15741  | 6/15/1995                      | PCT  |  | English<br>Abstract      |
|                      |                          | WO 95/24887  | 9/21/1995                      | PCT  |  | English                  |
|                      |                          | WO 95/33000  | 12/7/1995                      | PCT  |  | English                  |

| Examiner  | Date           |  |
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| Signature | Considered     |  |
| Signature | <br>Considered |  |

**Abstract** 

Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO Application Number 10/787,440 INFORMATION DISCLOSURE Filing Date February 27, 2004 STATEMENT BY APPLICANT First Named Inventor Veronique FERRARI et al. Art Unit 1615 (Use as many sheets as necessary) Examiner Name J. Venkat 05725.0816-02000 Sheet 16 Attorney Docket Number

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |                             |  |  |  |  |
|----------------------|--------------------------|---|-----------------------------|--|--|--|--|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation <sup>6</sup>    |  |  |  |  |
|                      |                          |   | <u>.</u>                    |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/182,830; Attorney Docket No. 05725.0795-01 Title: COS COMPOSITION COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AN USING SAME U.S. Filing Date: August 2, 2002   | D METHOD OF                 |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/203,018; Attorney Docket No. 05725.0816-01 Title: COMPOSITION COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE INERT FILLER AND METHODS FOR USE U.S. Filing Date: August 5, 2002   |                             |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/203,254; Attorney Docket No. 05725.0817-01 Title: COS COMPOSITIONS CONTAINING AT LEAST ONE HETEROPOLYMER AND AT LEAST AGENT AND METHODS OF USING THE SAME U.S. Filing Date: August 7, 2002  |                             |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/699,780, Attorney Docket No. 5725.0895-02 Title: METH DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROP Filing Date: November 4, 2003.  | OLYMER U.S.                 |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/787,440, Attorney Docket No. 5725.0816-02-000 Title: COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE INERT FILL METHODS FOR USE U.S. Filing Date: February 27, 2004   |                             |  |  |  |  |
|                      |                          | Co-Pending Application No. 10/918,579, Attorney Docket No. 05725.0808-02 Title: CONTAINING HETEROPOLYMERS AND OILSOLUBLE ESTERS AND METHODS OF U.S. Filing Date: August 16, 2004.   | USING SAME                  |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/618,032; issued as U.S. Patent No. 6,402,408 on June 1 Docket No. 5725.0659-00 Title: COMPOSITION CONTAINING A LIQUID FATTY PHAS A POLYAMIDE CONTAINING ESTER END GROUPS Inventor: Véronique FERRARI U. July 17, 2000             | E GELLED WITH               |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/618,066; Attorney Docket No. 5725.0656-00 Title: COMF RIGID FORM STRUCTURED WITH A POLYMER Inventors: Véronique FERRARI and FU.S. Filing Date: July 17, 2000  | Pascal SIMON                |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/685,577; Attorney Docket No. 5725.0656-01 Title: COMF RIGID FORM STRUCTURED WITH A POLYMER Inventors: Véronique FERRARI and FU.S. Filing Date: October 11, 2000   | Pascal SIMON                |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/685,578; Attorney Docket No. 5725.0659-01 Title: COMF CONTAINING A LIQUID FATTY PHASE GELLED WITH A POLYAMIDE CONTAINING GROUPS Inventor: Véronique FERRARI U.S. Filing Date: CIP filed October 11, 2000                          |                             |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/733,896; Attorney Docket No. 5725.0806-00 Title: COMICONTAINING HETEROPOLYMERS AND OIL-SOLUBLE POLYMERS AND METHODS SAME Inventors: Carlos PINZON and Paul THAU U.S. Filing Date: December 12, 2000                               | OF USING                    |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/733,897; Attorney Docket No. 5725.0809-00 Title: COMF CONTAINING HETEROPOLYMERS AND METHODS OF USING SAME Inventors: Carl Paul THAU U.S. Filing Date: December 12, 2000   | POSITIONS<br>los PINZON and |  |  |  |  |
|                      |                          | Co-Pending Application No. 09/733,898; Attorney Docket No. 5725.0808-00 Title: COMF CONTAINING HETEROPOLYMERS AND OIL-SOLUBLE ESTERS AND METHODS OF Inventors: Carlos PINZON and Paul THAU U.S. Filing Date: December 12, 2000                                  |                             |  |  |  |  |

| Examiner  | Date       |  |
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| Signature | Considered |  |

| IDS Form PTO/SB/08: Substitute for form 1449A/PTO |                                   |    |    |                        | Complete if Known        |  |
|---|-----------------------------------|----|----|------------------------|--------------------------|--|
| <u>-</u>  |                                   |    |    | Application Number     | 10/787,440               |  |
| INFORMATION DISCLOSURE                            |                                   |    |    | Filing Date            | February 27, 2004        |  |
| S   | STATEMENT BY APPLICANT            |    |    | First Named Inventor   | Veronique FERRARI et al. |  |
|   | (Use as many sheets as necessary) |    |    | Art Unit               | 1615                     |  |
| (000 00 1100 1100 1100 1100 1100 1100 1           |                                   |    |    | Examiner Name          | J. Venkat                |  |
| Sheet   | 8                                 | of | 16 | Attorney Docket Number | 05725.0816-02000         |  |

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |                               |
|----------------------|--------------------------|---|-------------------------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation <sup>6</sup>      |
|                      |                          | Co-Pending Application No. 09/733,899; Attorney Docket No. 5725.0594-00 Title: COSMI  | ETIC                          |
|                      |                          | COMPOSITIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST OF FORMING SILICONE RESIN AND METHODS OF USING Inventors: Mohamed KANJI et Date: December 12, 2000   | ONE FILM-<br>al. U.S. Filing  |
|                      |                          | Co-Pending Application No. 09/733,900; Attorney Docket No. 5725.0595-00 Title: COSMI COMPOSITIONS CONTAINING HETEROPOLYMERS AND OIL-SOLUBLE CATIONIC S AND METHODS OF USING Inventors: Carlos PINZON and Paul THAU U.S. Filing Date: 12,2000                    | SURFACTANTS<br>December       |
|                      |                          | Co-Pending Application No. 09/749,036; Attorney Docket No. 5725.0832-00 Title: COMPCOMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE PASTY FATTAND METHODS FOR USE Inventors: Véronique FERRARI et al. U.S. Filing Date: Decen                            | TY SUBSTANCE<br>ober 28, 2000 |
|                      |                          | Co-Pending Application No. 09/899,909, issued as U.S. Patent No. 6,432,391 on August Attorney Docket No. 5725.0920-00-000 Title: TRANSPARENT SCENTED SOLID COSMI COMPOSITION U.S. Filing Date: July 9, 2001   | ETIC                          |
|                      |                          | Co-Pending Application No. 09/937,314; Attorney Docket No. 5725.0932-00-000 Title: TR COMPOSITION STRUCTURED IN THE STIFF FORM BY A POLYMER U.S. Filing Date: 2001  | September 24,                 |
|                      |                          | Co-Pending Application No. 09/971,028, issued as U.S. Patent No. 6,716,420 on April 6, 2 Docket No. 5725.0895-00-000 Title: METHODS OF DISPERSING AT LEAST ONE COLOUSING AT LEAST ONE HETEROPOLYMER U.S. Filing Date: October 5, 2001                           |                               |
|                      |                          | Co-Pending Application No. 10/012,029; Attorney Docket No. 5725.1003-00-000 Title: CC COMPOSITION COMPRISING A POLYMER BLEND U.S. Filing Date: December 11, 200   |                               |
|                      |                          | Co-Pending Application No. 10/012,051; Attorney Docket No. 5725.1004-00-000 Title: US POLYMER FOR OBTAINING AN EXPRESS MAKE-UP OF KERATIN MATERIALS U.S. December 11, 2001  | E OF A                        |
|                      |                          | Co-Pending Application No. 10/012,052; Attorney Docket No. 5725.1005-00-000 Title: CC COMPOSITION COMPRISING A WAX AND A POLYMER U.S. Filing Date: December 1   | 1, 2001                       |
|                      |                          | Co-Pending Application No. 10/046,568; Attorney Docket No. 5725.1018-00-000 Title: NA COMPOSITION COMPRISING A POLYMER U.S. Filing Date: January 16, 2002   |                               |
|                      |                          | Co-Pending Application No. 10/047,987, Attorney Docket No. 5725.1020-00-000 Title: CO COMPOSITION CONTAINING A POLYMER AND A FLUORO OIL U.S. Filing Date: January   | SMETIC<br>lary 17, 2002       |
|                      |                          | Co-Pending Application No. 10/129,377; Attorney Docket No. 5725.0819-01-000 Title: CC STRUCTURED WITH A POLYMER CONTAINING A HETEROATOM AND AN ORGANC Filing Date: May 3, 2002  | MPOSITIONS                    |
|                      | _                        | Co-Pending Application No. 10/198,931, Attorney Docket No. 5725.0896-00-000 Title: CC COMPRISING AT LEAST ONE HETEROPOLYMER AND FIBERS, AND METHODS OF SAME U.S. Filing Date: July 22, 2002   | OMPOSITIONS<br>USING THE      |
|                      |                          | Co-Pending Application No. 10/203,374, Attorney Docket No. 6028.0019-00-000 Title: ME MAKING A COLOURED MAKE-UP COSMETIC COMPOSITION WITH CONTROLLED TRANSMITTANCE U.S. Filing Date: August 9, 2002   | ETHOD FOR                     |
|                      |                          | Co-Pending Application No. 10/203,375, Attorney Docket No. 6028.0018-00-000 Title: TR OR TRANSLUCENT COLORED COSMETIC COMPOSITION U.S. Filing Date: August 9,   |                               |

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Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO Application Number 10/787,440 **INFORMATION DISCLOSURE** Filing Date February 27, 2004 STATEMENT BY APPLICANT First Named Inventor Veronique FERRARI et al. 1615 Art Unit (Use as many sheets as necessary) Examiner Name J. Venkat 05725.0816-02000 16 Attomey Docket Number Sheet

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |                          |
|----------------------|--------------------------|---|--------------------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published. | Translation <sup>6</sup> |
|                      |                          | Co-Pending Application No. 10/312,083, Attorney Docket No. 5725.1187-00-000 Title: SC   | OLID EMILI SION          |
|                      |                          | CONTAINING A LIQUID FATTY PHASE STRUCTURED WITH A POLYMER U.S. Filing 23, 2002  |                          |
|                      |                          | Co-Pending Application No. 10/413,217, Attorney Docket No. 5725.0895-01000 Title: ME DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROP Filing Date: April 15, 2003  | OLYMER U.S.              |
|                      |                          | Co-Pending Application No. 10/450,108, Attorney Docket No. 5725.1198-00-000 Title: COMPOSITION COMPRISING A POLYMER AND FIBRES U.S. Filing Date: June 11, 20  | 003                      |
|                      |                          | Co-Pending Application No. 10/459,636, Attorney Docket No. 5725.1336-00-000 Title: CO EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE AND METHODS OF USING THE SAME U.S. Filing Date: June 12, 2003   | SUNSCREEN                |
|                      |                          | Co-Pending Application No. 10/466,166, Attorney Docket No. 5725.1228-00-000 Title: COMPOSITION COMPRISING A MIXTURE OF POLYMERS U.S. Filing Date: July 14, 2  | 2003                     |
|                      |                          | Co-Pending Application No. 10/618,315, Attorney Docket No. 5725.1337-00-000 Title: COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND AGENT U.S. Filing Date: July 11, 2003   |                          |
|                      |                          | Co-Pending Application No. 10/746,612, Attorney Docket No. 5725.1338-01-000 Title: COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND AGENT U.S. Filing Date: December 22, 2003   | SWELLING                 |
|                      |                          | Co-Pending Application No. 10/747,412, Attorney Docket No. 5725.1338-02-000 Title: CO EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE AND METHODS OF USING THE SAME U.S. Filing Date: December 22, 2003                                       | SUNSCREEN                |
|                      |                          | Co-Pending Application No. 10/787,441, Attorney Docket No. 5725.0795-02-000 Title: C COMPOSITION COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AND USING SAME U.S. Filing Date: February 27, 2004  |                          |
|                      |                          | English language abstract of JP 78/043577   |                          |
|                      | _                        | English language DERWENT abstract of EP 0 169 997 B   |                          |
|                      |                          | English language DERWENT abstract of FR 2 796 272   |                          |
|                      |                          | English language DERWENT abstract of FR 2 796 273   |                          |
|                      |                          | English language DERWENT abstract of FR 2 804 017   |                          |
|                      |                          | English language DERWENT abstract of FR 2 804 018   |                          |
|                      |                          | English language DERWENT abstract of FR 2 810 562   |                          |
|                      |                          | English language DERWENT abstract of FR 2 811 225   |                          |
|                      |                          | English language DERWENT abstract of FR 2 817 739   |                          |
|                      |                          | English language DERWENT abstract of FR 2 817 740   |                          |
|                      |                          | English language DERWENT abstract of FR 2 817 743   |                          |

| Examiner  | Date       |  |
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| Signature | Considered |  |

Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO Application Number 10/787,440 INFORMATION DISCLOSURE Filing Date February 27, 2004 STATEMENT BY APPLICANT First Named Inventor Veronique FERRARI et al. 1615 Art Unit (Use as many sheets as necessary) Examiner Name J. Venkat 16 05725.0816-02000 10 Attorney Docket Number Sheet

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |
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| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                      |                          | English language DERWENT abstract of FR 2 819 399   |
| <u>-</u>             |                          | English language DERWENT abstract of FR 2 819 400   |
|                      |                          | English language DERWENT abstract of WO 01/97773  |
|                      |                          | English language DERWENT abstract of WO 02/056847   |
|                      |                          | English language DERWENT abstract of WO 02/056848   |
|                      |                          | English language DERWENT abstract of WO 02/47622  |
|                      |                          | English language DERWENT abstract of WO 02/47629  |
|                      |                          | English language DERWENT abstract of WO 02/47630  |
|                      |                          | English language DERWENT abstract of WO 86/04916  |
|                      |                          | Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated December 21, 2001 (Ex. Seidleck)   |
|                      |                          | Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated July 15, 2002 (Ex. Sheikh)   |
|                      |                          | Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated July 16, 2003 (Ex. Sheikh)   |
|                      |                          | Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated November 19, 2003 (Ex. Sheikh)   |
|                      |                          | Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated August 11, 2004 (Ex. Venkat)   |
|                      |                          | Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated July 15, 2002 (Ex. Sheikh)   |
|                      |                          | Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated July 16, 2003 (Ex. Sheikh)   |
|                      |                          | Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated November 19, 2003 (Ex. Sheikh)   |
| _                    |                          | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated August 11, 2004 (Ex. Pryor)  |
|                      |                          | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated May 7, 2003 (Ex. Pryor)  |
|                      |                          | Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated November 19, 2003 (Ex. Pryor)  |
|                      |                          | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated January 28, 2003 (Ex. Rajguru)  |
|                      |                          | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated July 19, 2002 (Ex. Rajguru)   |
|                      |                          | Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated November 18, 2003 (Ex. Rajguru)   |

| Examiner  | Date       |  |
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| IDS Form PTO/S                    | SB/08: Substitute for for | m 1449A/PTO      |    | Complete if Known      |                          |  |
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|                                   |                           |                  |    | Application Number     | 10/787,440               |  |
| l II                              | NFORMATION D              | ISCLOSURE        |    | Filing Date            | February 27, 2004        |  |
| 5                                 | STATEMENT BY              | <b>APPLICAN1</b> | •  | First Named Inventor   | Veronique FERRARI et al. |  |
|                                   | (Use as many sheets       | as necessary)    |    | Art Unit               | 1615                     |  |
| (Ose as many sheets as necessary) |                           |                  |    | Examiner Name          | J. Venkat                |  |
| Sheet                             | 11                        | of               | 16 | Attorney Docket Number | 05725.0816-02000         |  |

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |
|----------------------|--------------------------|---|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                      |                          | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 15, 2002 (Ex. Berman)   |
| ,                    |                          | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 23, 2003 (Ex. Wells)  |
|                      |                          | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated August 29, 2002 (Ex. Berman)  |
|                      |                          | Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated May 6, 2004 (Ex. Yu)  |
|                      |                          | Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 29, 2003 (Ex. Yoon)   |
|                      |                          | Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated August 28, 2002 (Ex. Yoon)  |
| -                    |                          | Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated December 23, 2003 (Ex. Yoon)  |
|                      |                          | Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 7, 2004 (Ex. Lamm)  |
|                      |                          | Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 9, 2003 (Ex. Lamm)  |
|                      | -                        | Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated September 22, 2004 (Ex. Venkat)   |
|                      |                          | Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated April 7, 2004 (Ex. Lamm)  |
|                      |                          | Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated July 16, 2003 (Ex. Lamm)  |
|                      |                          | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated August 13, 2003 (Ex. Howard)  |
|                      |                          | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated July 16, 2002 (Ex. Howard)  |
|                      | -                        | Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated May 5, 2004 (Ex. Howard)  |
|                      |                          | Office Action in co-pending Application No. 09/899,909 (Attorney Docket No. 05725.0920) dated December 18, 2001 (Ex. Dodson)  |
|                      | -                        | Office Action in co-pending Application No. 09/937,314 (Attorney Docket No. 05725.0932) dated May 19,   |
|                      |                          | 2004 (Ex. Venkat) Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895-00) dated August 11, 2003 (Ex. Wang)   |
|                      |                          | Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895-00) dated March 26, 2003 (Ex. Wang)  |
|                      |                          | Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated November 20, 2002 (Ex. Venkat)  |
|                      |                          | Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated September 08, 2003 (Ex. Venkat)   |

| Examiner  | Date       |  |
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| IDS Form PTO/S                    | SB/08: Substitute for for | n 1449A/PTO   |    | Complete if Known      |                          |  |
|-----------------------------------|---------------------------|---------------|----|------------------------|--------------------------|--|
|                                   |                           |               |    | Application Number     | 10/787,440               |  |
| #                                 | NFORMATION D              | ISCLOSURE     |    | Filing Date            | February 27, 2004        |  |
| STATEMENT BY APPLICANT            |                           |               |    | First Named Inventor   | Veronique FERRARI et al. |  |
|                                   | (Use as many sheets       | as necessary) |    | Art Unit               | 1615                     |  |
| (Ose as many sneets as necessary) |                           |               |    | Examiner Name          | J. Venkat                |  |
| Sheet                             | 12                        | of            | 16 | Attorney Docket Number | 05725.0816-02000         |  |

|                      |                          | NON PATENT LITERATURE DOCUMENTS   |                          |
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| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation <sup>6</sup> |
|                      |                          |   |                          |
|                      |                          | Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004 14, 2003 (Ex. Venkat)  |                          |
|                      |                          | Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004 2004 (Ex. Venkat)  | ) dated May 14,          |
|                      |                          | Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004 3, 2003 (Ex. Venkat)   | ) dated October          |
|                      |                          | Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005 November 6, 2003 (Ex. Wells)   | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005 August 9, 2004 (Ex. Wells)   | -00) dated               |
|                      |                          | Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018 December 30, 2003 (Ex. Venkat)   | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018 2003 (Ex. Venkat)  | ) dated June 12,         |
|                      |                          | Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018 November 5, 2002 (Ex. Venkat)  | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018 September 22, 2004 (Ex. Venkat)  | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020 December 11, 2003 (Ex. Venkat)   | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020 September 7, 2004 (Ex. Venkat)   | -00) dated               |
|                      |                          | Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.1073 August 24, 2004 (Ex. Venkat)   | -00) dated               |
|                      |                          | Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896 December 18, 2003 (Ex. Venkat)   | ) dated                  |
|                      |                          | Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896 September 1, 2004 (Ex. Venkat)   | -00) dated               |
|                      |                          | Office Action in co-pending Application No. 10/203,018 (Attorney Docket No. 05725.0816 19, 2004 (Ex. Venkat)  | -01) dated May           |
|                      |                          | Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187 1, 2004 (Ex. Venkat)   | ) dated October          |
|                      |                          | Office Action in co-pending Application No. 10/413,217 (Attorney Docket No. 05725.0895 September 9, 2004 (Ex. Venkat)   | -01) dated               |
|                      |                          | Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895 September 22, 2004 (Ex. Venkat)  | -02) dated               |
|                      |                          | Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05715.1338 September 20, 2004 (Ex. Venkat)  | -01) dated               |
|                      |                          | Office Action in co-pending Application No. 10/787,440 (Attorney Docket No. 05725.0816 August 24, 2004 (Ex. Venkat)   | -02) dated               |

| Examiner  | Date           |  |
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Application Number 10/787,440

INFORMATION DISCLOSURE Filing Date February 27, 2004

STATEMENT BY APPLICANT First Named Inventor Veronique FERRARI et al.

Art Unit 1615

Examiner Name J. Venkat

Attorney Docket Number

16

Sheet

13

December 12, 2001

05725.0816-02000

|                      |                          | NON PATENT LITERATURE DOCUMENTS  |                          |
|----------------------|--------------------------|--|--------------------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | Translation <sup>6</sup> |
|                      |                          |  |                          |
|                      |                          | PCT Application No. PCT/FR01/03962; Attorney Docket No. 5725.0816-01-0304 Title: CC  | MPOSITION                |
|                      |                          | COMPRISING AT LEAST ONE HETEROPOLYMER AND AT LEAST ONE INERT FILLE   |                          |
|                      |                          | METHODS FOR USE Inventors: Véronique FERRARI et al. International Filing Date: Dec   | <u>ember 12, 200</u>     |
|                      |                          | PCT Application No. PCT/FR01/03963; Attorney Docket No. 5725.0817-01-0304 Title: CC  | SMETIC                   |
|                      |                          | COMPOSITIONS CONTAINING AT LEAST ONE HETEROPOLYMER AND AT LEAST CONTAINING AT LEAST CONTAIN |                          |
|                      |                          | AGENT AND METHODS OF USING THE SAME Inventor: Véronique FERRARI Internation  | onal Filing Date         |
|                      |                          | December 12, 2001  | DI JETIO                 |
|                      |                          | PCT Application No. PCT/FR01/03965; Attorney Docket No. 5725.0795-01-304 Title CO  |                          |
|                      |                          | COMPOSITION COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AND   |                          |
|                      |                          | USING SAME Inventors: Roberto CAVAZZUTI et al. International Filing Date: December   |                          |
|                      |                          | PCT Application No. PCT/IB00/02000; Attorney Docket No. 5725.0816-0304 Title: COMP COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE INERT FILLE   |                          |
|                      |                          | METHODS FOR USE Inventors: Véronique FERRARI et al. International Filing Date: Dec   |                          |
|                      |                          | PCT Application No. PCT/IB00/02006; Attorney Docket No. 5725.0817-0304 Title: COSM   |                          |
|                      |                          | COMPOSITIONS CONTAINING AT LEAST ONE HETEROPOLYMER AND AT LEAST C  |                          |
|                      |                          | AGENT AND METHODS OF USING THE SAME Inventor: Véronique FERRARI Internation  |                          |
|                      |                          | Date:December 12, 2000   | ondi i iiiig             |
|                      |                          | PCT Application No. PCT/IB01/02780; Attorney Docket No. 5725.0819-01-304 Title:COM   | POSITION                 |
|                      |                          | STRUCTURED WITH A POLYMER CONTAINING A HETEROATOM ORGANOGELATO   |                          |
|                      |                          | Filing Date: December 12, 2001   |                          |
| ····                 |                          | PCT Application No. PCT/US00/33596; Attorney Docket No. 5725.0795-0304 Title COSM  | METIC                    |
|                      |                          | COMPOSITION COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AND   | METHOD OF                |
|                      |                          | USING SAME Inventors: Roberto CAVAZZUTI et al. International Filing Date: December   | 12, 2000                 |
|                      |                          | PCT Application No. PCT/US01/47454; Attorney Docket No. 5725.0806-0304 Title: COMI   | POSITIONS                |
|                      |                          | CONTAINING HETEROPOLYMERS AND OIL-SOLUBLE POLYMERS AND METHODS   | OF USING                 |
|                      |                          | SAME Inventors: Carlos PINZON and Paul THAU U.S. Filing Date: December 12, 2001  |                          |
|                      |                          | PCT Application No. PCT/US01/47459; Attorney Docket No. 5725.0594-0304 Title: COSM   |                          |
|                      |                          | COMPOSITIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST (   |                          |
|                      |                          | FORMING SILICONE RESIN AND METHODS OF USING Inventors: Mohamed KANJI et  | al. U.S. Filing          |
| 0 7000               |                          | Date:December 12, 2001   |                          |
|                      |                          | PCT Application No. PCT/US01/47496; Attorney Docket No. 5725.0809-0304 Title: COMI   |                          |
|                      |                          | CONTAINING HETEROPOLYMERS AND METHODS OF USING SAME Inventors: Carlo   | s PINZON and             |
|                      |                          | Paul THAU U.S. Filing Date: December 12, 2001  |                          |
|                      |                          | PCT Application No. PCT/US01/47497; Attorney Docket No. 5725.0808-0304 Title: COMI   |                          |
|                      |                          | CONTAINING HETEROPOLYMERS AND OIL-SOLUBLE ESTERS AND METHODS OF  | USING SAME               |
|                      |                          | Inventors: Carlos PINZON and Paul THAU U.S. Filing Date: December 12, 2001   | 2145710                  |
|                      |                          | PCT Application No. PCT/US01/47499; Attorney Docket No. 5725.0595-00-304 Title: CO   |                          |
|                      |                          | COMPOSITIONS CONTAINING HETEROPOLYMERS AND OIL-SOLUBLE CATIONIC S  |                          |
|                      |                          | AND METHODS OF USING SAME Inventors: Carlos PINZON and Paul THAU U.S. Filing   | Date:                    |

| Examiner  | <br>Date       |  |
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| Signature | <br>Considered |  |

| IDS Form PTO/S                    | B/08: Substitute for form | 1449A/PTO    |    | Complete if Known             |                          |  |
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|                                   |                           |              |    | Application Number 10/787,440 |                          |  |
| · IN                              | IFORMATION DI             | SCLOSURE     |    | Filing Date                   | February 27, 2004        |  |
| STATEMENT BY APPLICANT            |                           |              |    | First Named Inventor          | Veronique FERRARI et al. |  |
|                                   | (Use as many sheets a     | s necessary) |    | Art Unit                      | 1615                     |  |
| (ose do many choose as necessary) |                           |              |    | Examiner Name                 | J. Venkat                |  |
| Sheet                             | 14                        | of           | 16 | Attorney Docket Number        | 05725.0816-02000         |  |

| Examiner Initials | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, | Translation <sup>6</sup> |  |  |  |
|-------------------|--------------|--|--------------------------|--|--|--|
|                   |              | city and/or country where published.   |                          |  |  |  |
|                   |              | PCT Application No. PCT/US03/41618; Attorney Docket No. 5725.1338-00-304 Title: COS  | SMETIC                   |  |  |  |
|                   |              | COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND   |                          |  |  |  |
|                   |              | AGENT Inventors: Shao Xiang LU, Terry VAN LIEW, Nathalie GEFFROY-HYLAND Interr   | national Filing          |  |  |  |
|                   |              | Date: December 22, 2003  |                          |  |  |  |
|                   |              | PCT Application No. PCT/US04/01071; Attorney Docket No. 5725.1335-00-304 Title: LON  |                          |  |  |  |
|                   |              | COSMETIC COMPOSITION Inventor: Balanda ATIS International Filing Date: January 16, 2004  |                          |  |  |  |
|                   |              | 1993 McCutcheon's VOLUME 1: Emulsifiers & Detergents North American and Internation  | nal Editions, N          |  |  |  |
|                   |              | Publishing Co., Glen Rock NJ (1993), pp. 272-273.  |                          |  |  |  |

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> Applicant's unique citation designation number (optional).

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>&</sup>lt;sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

| IDS Form PTO/S | SB/08: Substitute for form         | n 1449A/PTO   |    | Complete if Known      |                          |  |
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|                |                                    |               |    | Application Number     | 10/787,440               |  |
| 1              | NFORMATION D                       | ISCLOSURE     |    | Filing Date            | February 27, 2004        |  |
|                | STATEMENT BY                       | APPLICANT     |    | First Named Inventor   | Veronique FERRARI et al. |  |
|                | (Use as many sheets                | as necessary) |    | Art Unit               | 1615                     |  |
|                | (Ose as many should as hosessally) |               |    | Examiner Name          | J. Venkat                |  |
| Sheet          | 15                                 | of            | 16 | Attorney Docket Number | 05725.0816-02000         |  |

<sup>7</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

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<sup>12</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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| Signature | <br>Considered |  |

<sup>&</sup>lt;sup>8</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

#### Complete if Known IDS Form PTO/SB/08: Substitute for form 1449A/PTO 10/787,440 Application Number INFORMATION DISCLOSURE February 27, 2004 Filing Date STATEMENT BY APPLICANT Veronique FERRARI et al. First Named Inventor Art Unit 1615 (Use as many sheets as necessary) Examiner Name J. Venkat Sheet 16 Attomey Docket Number 05725.0816-02000

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| Examiner  | Date       |  |
| Signature | Considered |  |

<sup>&</sup>lt;sup>15</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>&</sup>lt;sup>16</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>17</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>18</sup> Applicant is to place a check mark here if English language Translation is attached.

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<sup>&</sup>lt;sup>19</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>&</sup>lt;sup>20</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>21</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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